Library of Congress Report on Subject Cataloging
ALA ALCTS CCS Subject Analysis Committee (SAC)
Midwinter Meeting, Philadelphia, Pennsylvania
January 26, 2020

Submitted by Janis L. Young
LC Policy, Training, and Cooperative Programs Division
SAC Liaison
jayo@loc.gov

The full briefing document for Library of Congress staff attending ALA is available on the LC web site at http://www.loc.gov/ala. It consists of information about all Library service units, divisions, and offices, and covers initiatives undertaken since the ALA's Annual Conference held in Washington, D.C.

GENERAL

Exhibit Booth. The Library of Congress Exhibit Booth is no. 1607 in the Pennsylvania Convention Center. Staff will be present to hand out promotional items and documents and respond to questions from ALA attendees. There will be a video about the Library, LOC trivia games, and a selfie screen at the Booth but no theater presentations.

National Library Service for the Blind & Print Disabled (NLS). In May 2019, the National Library Service for the Blind and Physically Handicapped received final approval from the Librarian of Congress to change its name. The new name, "National Library Service for the Blind and Print Disabled," became official on October 1, 2019. With the new name, which was selected after considering input from stakeholder groups and comments gathered through various public channels, the Library and NLS leadership intended to address the use of outdated language in the old name and communicate more clearly the breadth of those served by NLS. The short acronym "NLS" has not changed.

GENERAL CATALOGING

Staff Changes.
   Theron (Ted) Westervelt was appointed chief of the US/Anglo Division, ABA, effective September 30, 2019.
   Christine Dulaney was appointed chief of the Germanic and Slavic Division, effective January 5, 2020.
   James Hafner retired as head of the Science, Medicine, and Agriculture Section, US Arts, Sciences, and Humanities Division (USASH), on December 13, 2019.
   Beatrice Ohta retired as head of the China Section, Asian and Middle Eastern Division, on December 31, 2019, after 52 years of service at the Library of Congress.
   David Lucas Graves, a cooperative cataloging program specialist in PTCP, retired in July 2019 after nearly 32 years of service.

Kate James, a senior cataloging policy specialist in PTCP, resigned her position after 16 years of service.

Manon Théroux was appointed to the position of cataloging policy specialist in PTCP in October 2019.

Lesley Parilla joined PTCP as an assistant specialist in October 2019.

MARC/RDA Working Group. A MARC/RDA Working Group was formed to identify any changes needed in the MARC format for the new version of RDA (see http://www.loc.gov/marc/mac/MARC-RDA_Working_Group.html). Meeting virtually, the group developed two Discussion Papers for consideration at the ALA 2020 Midwinter Meeting. They involve RDA manifestation statements and RDA extension plans.

MDSConnect. The Cataloging Distribution Service has updated its MARC open-access datasets in its service MDSConnect. The new datasets include nearly 25 million MARC records, as distributed in the 2016 unabridged Retrospective file sets, in UTF8 and XML formats. They are made available primarily for research and development uses and remain free to the public. For more information, see http://www.loc.gov/cds/products/marcDist.php.

BIBFRAME. The Network Development and MARC Standards Office (NDMSO) and the Policy, Training, and Cooperative Programs Division (PTCP) continue work on BIBFRAME development and testing. The scope of BIBFRAME Pilot Phase Two has expanded to include more than 100 Library of Congress catalogers, including staff members working in four of the six overseas offices--Cairo, Jakarta, Islamabad, and Nairobi. To help train the new pilot participants, a comprehensive Library of Congress BIBFRAME Manual was created. The manual is publicly available on the Catalogers Learning Workshop site, together with all associated training materials, and will be updated throughout the course of the pilot; see http://www.loc.gov/catworkshop/bibframe/.

Next Gen ILS. The Library has been conducting market research for a next generation library services platform to learn from vendors about technology trends and developments for library collection management products. Staff throughout the Library identified high-level business needs that were compiled into a Request For Information (RFI), which the Library issued on October 24, 2019.

Migration of Legacy Metadata. The ILS Program Office has stepped up its efforts to migrate legacy descriptive metadata into the ILS from silos. In fiscal 2019 the office completed the migration of 70,000 bibliographic records from stand-alone databases to the LC ILS. Staff of ILSPO conducted many metadata remediation projects during the fiscal year that resulted in ILSPO staff adding or updating 295,579 bibliographic, holdings, and item records in the ILS.

Program for Cooperative Cataloging.

Membership. During fiscal 2019, the four PCC programs attracted 51 new institutions, including 19 institutions from outside the U.S. Of these new institutions, 19 were institutional members and 32 were funnel members. PCC funnel-level membership is granted to institutions that cannot fulfill the requirements of the other levels of membership, and to those organizations that wish to join a funnel in addition to their existing level of membership to benefit from the funnel's language, subject, format, or locality focus.

PCC Policy Committee. The PCC Policy Committee met November 7-8, 2019, at the Library of Congress. Highlights of the meeting included an update on progress made in meeting the program's strategic directions, plans for *LC-PCC Policy Statements* in the beta version of *RDA Toolkit,* standing

committee reports, and reports from task groups on a variety of topics including linked data, identity management, metadata application profiles, and engaging and broadening the PCC community. A summary of meeting outcomes, with links to the agenda and related discussion documents, has been posted at https://www.loc.gov/aba/pcc/documents/PoCo-2019/PoCo-2019-Outcomes.pdf.

CIP Program.

Statistics. In fiscal 2019, the CIP Program cataloged 53,121 CIP records for print and e-books. Of this total, members of the CIP Partnership Program contributed 5,876 CIP records. Contract catalogers completed 4,500 CIP records.

ECIP Cataloging Contract. The ECIP Cataloging Contract completed the first option year to catalog 4,500 CIP records. The second option year for 3,000 records is well underway. The contractor is providing Sears subject headings to works intended for a juvenile audience, in addition to the regular complement of subject analysis. CYAC (Children's Literature and Young Adults' Cataloging), music and legal titles, and non-English CIPs are not in scope for the contract. The contractor is responsible for all required NACO work but not for submitting LCSH and LCC proposals, although the contract catalogers identify a possible need for such proposals; designated subject experts in ABA submit necessary proposals.

E-Books. In fiscal 2019, the CIP Program created 10,778 e-book bibliographic records and received 15,843 e-book files from 119 publisher sftp accounts. 3,727 e-book files have been verified and ingested. Approximately 15,000 CIP e-books are now available for viewing at special computers in multiple reading rooms across the Library of Congress campus.

Library of Congress Acquisitions and Cataloging Production.

Acquisitions Work	FY19	FY18	FY17
Items purchased for LC collections	633,937	559,467	647,999
Items acquired for LC by non-purchase	1,172,351	1,140,000	1,472,501
Expenditures for collections purchases	$27,787,247.07	$22,700,000	$23,900,000

Bibliographic Records Completed	FY19	FY18	FY17
Original	154,221	189,255	209,213
Collection-level cataloging	7,890	1,060	1,395
Copy cataloging	84,747	77,598	85,623
Minimal level cataloging	24,194	25,222	75,976
Total records completed	271,052	293,135	372,207
Total volumes cataloged	386,853	305,955	389,040

Authority Work	FY19	FY18	FY17
New name authority records	82,004	88,411	72,991
New LC Subject Headings	7,259	2,221	1,630
New LC Classification numbers	1,868	2,100	2,306
Total authority records created	91,131	92,732	76,927

DESCRIPTIVE CATALOGING

Authorized Access Point for Kiev, Ukraine. The authorized access point for the capital city of the Ukraine was **Kiev (Ukraine)**, based on the conventional name "Kiev," as determined by the U.S. Board of Geographic Names. The BGN has now changed the status of the name "Kiev" from conventional to variant, and there is no conventional name.

As a result, the authorized access point for the city has been changed to **Kyïv (Ukraine)**, which is based on the ALA/LC Romanization Table for Ukrainian. All of the associated LCSH authority records have been updated, and LC is in the process of revising all of the associated name authority records.

LC-PCC Policy Statements. The *Library of Congress-Program for Cooperative Cataloging Policy Statements* remain frozen as a result of the *RDA Toolkit* Restructure and Redesign Project (commonly known as the 3R Project); see https://www.rdatoolkit.org/3Rproject. The stabilized English-language text that resulted from the project has been released on the RDA Toolkit beta site and work on the development of policy statements and application profiles for that revised RDA text has commenced. Four joint LC-PCC task groups have been charged to make recommendations regarding these policy statements. A designated team of Policy, Training, and Cooperative Programs Division staff members has been working on preparing the policy statements, following a plan submitted to the PCC Policy Committee, and will be consulting regularly with the PCC throughout the development process. For more information, see https://www.loc.gov/aba/pcc/documents/PoCo-2019/BetaRDA-PoCo-Decisions.pdf.

SUBJECT HEADINGS AND CLASSIFICATION

Bibliographic Record Control Numbers in Authority Records. The citation for the work being cataloged is the first MARC 670 field provided in proposals for subject headings, genre/form terms, medium of performance terms, and demographic group terms. According to longstanding policy, field 670 subfield $a contains the LC bibliographic record control number (i.e., the LCCN) if applicable, the main entry, the title, and the publication date of the work.

In order to support linked data, the bibliographic record control number will be contained in subfield $w beginning in spring 2020. The subfield $w will appear as the last element in the field. The control number itself will be preceded by the MARC code for the agency to which the control number applies, enclosed in parentheses. For example:

Citation formatted according to current policy:
670 ## $a Work cat: **2015300502**: Finding Julia, 2014: $b p. 13 (Bollinger family) p. 11 (Bollinger County, Missouri was named after George Frederick Bollinger, b. 1770. His German ancestors migrated from Zurich, Switzerland to Philadelphia in 1738)

Citation formatted according to new policy:
670 ## $a Work cat: Finding Julia, 2014: $b p. 13 (Bollinger family) p. 11 (Bollinger County, Missouri was named after George Frederick Bollinger, b. 1770. His German ancestors migrated from Zurich, Switzerland to Philadelphia in 1738) **$w (DLC)2015300502**

The LCCN will be provided in proposals made by LC catalogers. The LCCN will also be provided in SACO proposals made for CIPs that are cataloged for LC's CIP Partnership Program. "Work cat" citations in other SACO proposals may contain a single subfield $w that reflects either the local control number of

the SACO institution or a bibliographic utility control number (e.g., the OCLC number). Subfield $w will not be required for SACO proposals, other than for those made to support CIP cataloging.

Citations in existing authority records should not be revised to move to subfield $w an LCCN that appears in subfield $a, nor to add a control number to a citation that does not currently include a control number. When proposals are made to revise existing records, the citation for the work prompting the proposal should be formatted according to the new policy.

Pertinent instruction sheets in the *Subject Headings Manual* and the draft genre/form, medium of performance, and demographic group terms manuals will be revised to reflect the new policy. The templates in the Proposal System will also be updated accordingly.

Further information, including the date of implementation, will be provided when it is available.

"Multiple" Subdivisions. In order to better support linked-data initiatives, "multiple" subdivisions are being cancelled from LCSH. "Multiple" subdivisions are a special type of subdivision that automatically gives free-floating status to analogous subdivisions used under the same heading.

The initial focus of the project is the multiple subdivisions that are used after the topical subdivision **–Religious aspects**. Since June 2019, 294 authority records have been cancelled and 2,471 have been created. In addition, 75 validation records have been redesignated as full authority records.

The continued existence of a multiple subdivision for a particular topic cannot be assumed. It is therefore imperative that LCSH be searched at all times, in order to determine whether the multiple has been cancelled. Catalogers may remember the multiples **Birth control—Religious aspects—Buddhism, [Christianity, etc.]** and **Birth control—Religious aspects—Baptists, [Catholic Church, etc.]**, for example, but they were cancelled in May 2019 and replaced by 20 individual authority records. Because the multiples were cancelled, all headings of the type **Birth control—Religious aspects—[religion or Christian denomination]** must have an authority record; that is, they must be proposed as needed.

Individual multiple subdivisions should continue to be used according to the instructions in *Subject Headings Manual* H 1090 until they are cancelled.

The community may keep abreast of progress on this project by consulting the Multiples Cancellation Project website (http://www.loc.gov/aba/cataloging/subject/multiplescancellationproject.html). The project will not proceed alphabetically, so those wishing to update their local catalogs are encouraged to download the spreadsheet periodically and sort it by the date of cancellation.

Additional information about the project may be found on the project website at the URL provided above.

Classification Web 4. An updated interface for Classification Web was successfully released during the weekend of August 24-25, 2019. This significant upgrade to the user interface includes all of the functionality of Classification Web 3, but incorporates modern web navigation techniques and a responsive design that runs on a wide range of hardware from desktop computers to tablets and smart phones. Classification Web 4 runs on Mozilla Firefox, Google Chrome, Microsoft Edge, and Safari, but the navigation features do not function properly on Internet Explorer.

Notable New Headings. Several subject headings of note have been approved since the Annual Conference. They include **Activity trackers (Wearable technology)**; **Black wall streets**; **Blackface**; **Home brewing**; **Hong Kong Protests, Hong Kong, China, 2019-** ; **Off-label drug use**; **Open educational resources**; **Parkland Shooting, Parkland, Fla., 2018**; and **Rape kits**.

DEMOGRAPHIC GROUP TERMS

Moratorium on LCDGT Proposals. *Library of Congress Demographic Group Terms* (LCDGT) is intended to describe the creators of, and contributors to, resources, and also the intended audience of resources. Terms may be assigned in bibliographic records and in authority records for works and expressions.

The moratorium on proposals for new and revised terms that was enacted in February 2018 is still in place while LCDGT's structure and principles are thoroughly evaluated.