

**Library of Congress Report on Subject Cataloging  
ALA ALCTS CCS Subject Analysis Committee (SAC)  
Midwinter Meeting, Seattle, Washington  
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The full briefing document for Library of Congress staff attending ALA is available on the LC web site at <http://www.loc.gov/ala>. It consists of information about all Library service units, divisions, and offices, and covers initiatives undertaken since the ALA's Annual Conference held in New Orleans, Louisiana.

**GENERAL**

**Partial Government Shutdown.** As of January 11, 2019, the U.S. federal government was under a partial shutdown because of lapse of funding. The Library of Congress, however, is operating normally. The U.S. Congress enacted a full-year budget for all legislative branch agencies, including the Library of Congress, in time for the beginning of fiscal year 2019 on Oct. 1, 2018. The law is "2019 Appropriations Minibus (H.R. 5895)." The Library's operations are not affected by the partial shutdown, with the exception of some activities in the Human Capital Directorate and in the overseas offices that are dependent on executive branch agency functions.

**Exhibit Table.** The Library will feature a table in the Skybridge Lobby of the Washington State Convention Center in Seattle. (The Library will not exhibit its full Pavilion in Seattle). Table hours are:

- Friday, January 25: 1:30 pm-5:00 pm
- Saturday-Sunday, January 26-27: 10:00 am-4:00 pm
- Monday, January 28: 10:00 am-2:00 pm

Michelle Spezzacatena and Kahin Mohammad will be at the table to hand out promotional items and documents and to respond to questions from ALA attendees. They will also be collecting recommendations from attendees regarding the Library's programming at the 2019 ALA Annual Conference. A survey to compile further information will be available at URL (<https://www.surveymonkey.com/r/LOCat2019ALA>) from January 24 through February 10, 2019. The Library looks forward to hearing recommendations from ALA members!

**EAD Archival Finding Aids.** In 2018, custodial divisions created 60 new EAD archival finding aids, bringing the total number of LC EAD finding aids to 2,493. Included among the new finding aids is the Henriette D. Avram MARC development collection, 1964-1989, the first finding aid of the LC Archives collections.

## **GENERAL CATALOGING**

### **Staff Changes.**

*Dave Reser* accepted a new position in the ILS Program Office in July, after almost 20 years as a cataloging policy specialist.

*Ivey Glendon* joined PSD as a senior library automation specialist on January 7, 2019. She replaces Bruce Johnson, who retired in December 2017 as the Cataloger's Desktop product manager. Ivey previously worked at the University of Virginia Library.

*Randall Barry*, chief of the Asian and Middle Eastern Division, ABA, retired on June 29, 2018.

*Zbigniew Kantorosinski*, chief of the Germanic and Slavic Division, retired on December 31, 2018.

*Linda Geisler*, chief of the US/Anglo Division, retired on January 3, 2019.

*Hien Nguyen*, a cooperative cataloging program specialist, left the Cooperative and Instructional Programs Division in March 2018 to become head of the Bibliographic Control Section, National Library Service for the Blind and Physically Handicapped.

*Fehl Cannon* was appointed field director of the Library's office in Islamabad, Pakistan, effective July 22, 2018.

### **Library of Congress Acquisitions and Cataloging Production Statistics.**

<i>Acquisitions Work</i>	<i>FY18</i>	<i>FY17</i>
Items purchased for LC collections	559,467	647,999
Items acquired for LC by non-purchase	1,140,000	1,472,501
Expenditures for collections purchases	\$22,700,000	\$23,900,000
<i>Bibliographic Records Completed</i>	<i>FY18</i>	<i>FY17</i>
Original	189,255	209,213
Collection-level cataloging	1,060	1,395
Copy cataloging	77,598	85,623
Minimal level cataloging	<u>25,222</u>	<u>75,976</u>
Total records completed	293,135	372,207
Total volumes cataloged	305,955	389,040
<i>Authority Work</i>	<i>FY18</i>	<i>FY17</i>
New name authority records	88,411	72,991
New LC Subject Headings	2,221	1,630
New LC Classification Numbers	<u>2,100</u>	<u>2,306</u>
Total authority records created	92,732	76,927

**LC Guidelines Supplement to the MARC 21 Format for Authority Data.** In October 2018, an appendix was added to the LC Guidelines. This appendix describes the process by which changes to the *MARC 21 Format for Authority Data* are implemented in the LC/NACO name authority file (LC/NAF) as well as in the authority files for LCSH, LCGFT, LCMPT, and LCDGT.

**BIBFRAME.** The Network Development and MARC Standards Office (NDMSO) and the Cooperative and Instructional Programs Division (COIN) continue to work together on BIBFRAME development and testing. Approximately 60 Library of Congress catalogers are currently participating in BIBFRAME Pilot Phase Two. During fiscal 2019, the number of participants will be expanded to 100.

Pilot participants have been creating bibliographic descriptions in both BIBFRAME and MARC 21 for each resource they catalog. Testing includes input of bibliographic data using BIBFRAME 2.0 vocabulary,

input of non-Latin scripts, and the ability to incorporate authority data. Development of a policy decision on inputting non-Latin script with limited romanization is a goal for the 2019 fiscal year.

Work has also focused on providing a fuller level of interaction with a live BIBFRAME 2.0 database consisting of the complete BIBFRAME conversion of the Library of Congress bibliographic file. Features of the database include user search capability and use of linked data queries to highlight relationships between resources. Merging and matching BIBFRAME 2.0 work descriptions in the database is underway and will continue to be refined throughout 2019.

With feedback from COIN, NDMSO has developed, refined, and tested code for a number of improvements to the tools that are used in the BIBFRAME Pilot. This work included improved validation and posting of new descriptions to the BIBFRAME database from the BIBFRAME Editor, retrieving and editing previously posted descriptions (primarily brief descriptions called Initial Bibliographic Control data, or IBC), and posting the updated descriptions back to the database from the Editor. NDMSO has also worked on developing a “cloning” function for existing descriptions, to streamline the batch creation process, and has made constant improvements to the profiles for various formats of materials within the BIBFRAME Editor. Plans for the rest of 2019 include continuing to improve user interaction with these BIBFRAME Editor profiles and continuing to improve access to name/subject authority data. The bibliographic data in the BIBFRAME 2.0 database is used in combination with authority data from the LC Linked Data Service: <http://id.loc.gov>.

COIN and NDMSO staff members continued to exchange ideas about BIBFRAME with partners in the LD4P (Linked Data for Production) project, funded by the Mellon Foundation, and are preparing initial BIBFRAME training for the 17 Program for Cooperative Cataloging member institutions that were recently announced as members of the LD4P Cohort for the second phase of that project.

**CIP Program.** In fiscal year 2018, Library of Congress staff cataloged 45,733 CIP titles. Libraries and other members of the CIP Partnership Program contributed an additional 7,324 CIP records in fiscal 2018. The total of all CIP records cataloged in fiscal 2018 was 57,573. In October and November 2018 (fiscal 2019), an additional 7,478 CIP records were completed by Library of Congress staff and CIP Cataloging Partners contributed 1,136, for a total of 10,112 new CIP records in the first two months of fiscal 2019.

*CIP E-Book Program.* The fiscal 2018 annual performance target for the CIP E-books Program was to ingest 5,000 new CIP e-books, but the CIP Program received 20,275 e-books. In fiscal 2019 through December 31, 2018, the CIP Program has received 5,023 e-books. The number of participating e-book publishers increased in fiscal 2018 by 75, for a total of 920 publishers. An additional 13 new publishers have been added to the CIP E-Book Program as of December 31, 2018.

*PrePub Book Link.* PrePub Book Link is the name of the cloud-based system that will replace the aging ECIP Traffic Manager. The implementation date has been postponed to spring 2019. PrePub Book Link will run on a Unicode-compliant ServiceNow platform and will include enhanced functionality, such as the use of MS Word and PDF file formats for galley attachments and a MARC editor tool to convert application data to a MARC record and import into the LC Integrated Library System or OCLC. Collaborations with Bowker/ProQuest, the Book Industry Standard Group (BISG), and the ONIX Best Practices Group produced a mapping from the ONIX schema to the CIP application; this will enable a publisher to search an ISBN (International Standard Book Number) and have the ONIX metadata prepopulate much of the CIP application. Publishers participating in the Harvard [Online Author Questionnaire \(OAQ\)](#) will be able to include the unique URL to the OAQ entry for that author, providing richer background information about the author. Publishers can also include ISNI (International Standard Name Identifier) and ORCID (Open Researcher and Contributor ID) identifiers for authors.

**Program for Cooperative Cataloging.** A list of the 71 institutions and funnels that joined the PCC during Library of Congress fiscal year 2018, including 12 from outside the United States, is available on the PCC website, [www.loc.gov/aba/pcc/stats/NewMembersByProgram.pdf](http://www.loc.gov/aba/pcc/stats/NewMembersByProgram.pdf).

*Policy Committee Meeting.* The PCC Policy Committee met November 1-2, 2018, at the Library of Congress. Highlights of the meeting included updates on the PCC ISNI (International Standard Name Identifier) Pilot Project, BIBFRAME development, changes to *RDA: Resource Description & Access* as a result of LRM (Library Reference Model, a recent standard from the International Federation of Library Associations and Institutions) and the 3R Project (*RDA Toolkit* Restructure and Redesign Project), plans for moving forward with the optional omission of ISBD punctuation in new PCC bibliographic records, and reports on the work of standing committees and task groups on such topics as linked data, identity management, and engaging and broadening the PCC community. A summary of the outcomes of the meeting has been posted at [www.loc.gov/aba/pcc/documents/PoCo-2018/PoCo-2018-Outcomes.pdf](http://www.loc.gov/aba/pcc/documents/PoCo-2018/PoCo-2018-Outcomes.pdf).

*LD4P.* Seventeen PCC institutions have been selected to participate in the second phase of LD4P (Linked Data for Production), a project based at Stanford University and funded by the Mellon Foundation to foster adoption and implementation of linked data. Representatives of these “LD4P Cohort” institutions met with the LD4P project partners (Cornell, Harvard, and Stanford) on Oct. 15-16, 2018, at the Library of Congress. A cloud-based sandbox environment, called Sinopia, is being developed for use in the creation of linked data by LD4P participants, and it will eventually be open to all PCC members for experimentation. Training is also being developed.

*PCC Comm Channel.* A new PCC Communication Board has been appointed, for a one-year pilot project. The Board is producing a quarterly bulletin called the “PCC Comm Channel” to keep the PCC membership informed of program developments. The first two issues have been posted at [www.loc.gov/aba/pcc/communication](http://www.loc.gov/aba/pcc/communication).

*PCC Directory.* Implementation of a new PCC Directory system was completed in fall 2018. All PCC member institutions and funnel coordinators are now using their Directory profiles to maintain contact information, record PCC statistics, and participate in PCC elections.

## **DESCRIPTIVE CATALOGING**

**LC-PCC PSs.** Since the text of the RDA Toolkit is currently “frozen” while the preparations for the 3R Project continue (see <http://www.rdatoolkit.org/3Rproject>), the LC-PCC Policy Statements are frozen as well. Should it become necessary to issue new or revised policy statements prior to the release of the 3R Project, they will be posted at: [http://www.loc.gov/aba/rda/lcps\\_access.html](http://www.loc.gov/aba/rda/lcps_access.html).

***Descriptive Cataloging Manual.*** Z12, Special Projects for Name Authority Records and Bibliographic Records, was added to the *Descriptive Cataloging Manual* in October 2018. DCM Z12 provides background information and instructions about special projects for authority records in the LC/NAF such as the Dance Heritage Coalition Access Project and the Pinyin Conversion Project. Z12 also contains information about LC bibliographic records changed during these projects. Z12 should be used in conjunction with Z1 and other appropriate cataloging documents for authority work.

## SUBJECT HEADINGS AND CLASSIFICATION

**“Multiple” Subdivisions.** In order to better support linked-data initiatives, PSD has begun a project to cancel “multiple” subdivisions from LCSH. “Multiple” subdivisions are a special type of subdivision that automatically gives free-floating status to analogous subdivisions used under the same heading.

Staff in PSD will cancel the multiple subdivisions from LCSH and create individual authority records for each valid, complete, heading string that was created based on a multiple subdivision. PSD wishes to be as comprehensive as possible when making authority records based on heading strings used in bibliographic records; OCLC Research is assisting in this effort by providing lists of the headings used in bibliographic records in OCLC.

*Subdivisions –Religious aspects–Buddhism [Christianity, etc.] and –Religious aspects–Baptists [Catholic Church, etc.].* Through mid-January 2019, staff have examined over 12,880 headings assigned in OCLC, including headings in which the multiple subdivision is specifically established under a particular heading (e.g., **Influence (Psychology)–Religious aspects–Buddhism [Christianity, etc.]**) and where it has been used as a free-floating subdivision. Over 3,300 of those headings have been identified as valid and PSD will make authority records. As a result, over 630 individual authority records for multiple subdivisions have already been marked for deletion (of over 2,200 records).

*Cancellation of selected subdivisions.* Five free-floating multiple subdivisions used under names of persons were cancelled in November 2018. Each cancelled multiple subdivision was replaced by an unqualified free-floating subdivision, as follows.

*Cancelled subdivision*

–Abdication, [date]  
–Assassination attempt, [date]  
–Captivity, [dates]  
–Inauguration, [date]  
–Kidnapping, [date]

*Replacement subdivision*

–Abdication  
–Assassination attempts  
–Captivity  
–Inaugurations  
–Kidnapping

A statistical analysis showed that the cancelled multiple subdivisions were rarely used. As of October, for example, OCLC WorldCat included only 502 unique headings based on the subdivision **–Captivity, [dates]**. Those 502 unique headings appeared in only 3,044 bibliographic records, of which 1,550 were for works on Napoleon Buonaparte – in a database of over one billion bibliographic records. Therefore, PSD determined that the utility of the multiples is vastly outweighed by the maintenance problems that would result from adding approximately 500 personal name headings to LCSH in order to establish each heading authorized by the multiples.

PSD may cancel additional multiple subdivisions as the project proceeds, when that action is merited by statistical and cost/benefit analyses.

*Timeline for releasing records.* The authority records generated by this project will be added to LCSH in batches beginning in the first quarter of 2019, and the records will be distributed through the MARC Distribution Service’s Subject–Authorities product. Subject–Authorities subscribers should expect that some weekly distributions may total over 1,000 records.

More information, including interim instructions for catalogers using these subdivisions, is available at: <http://www.loc.gov/aba/cataloging/subject/multiplescancellationproject.html>.

**Sexual Minorities.** The Tentative List for December 2018 included several proposals to change the hierarchy of headings that represent individual sexual and gender minorities. Those headings had been NTs of **Sexual minorities** and are now NTs of **Persons**. This action was undertaken to bring LCSH treatment of these groups into alignment with the way that other minority groups are treated in LCSH.

For example, headings for American ethnic groups do not have the BT **Minorities—United States**, and headings representing people with disabilities do not have the BT **Minorities**, either, although people with disabilities usually constitute a minority. In addition, a group’s status as a minority is relative to the population in general. A class of persons that is in the minority in one context is not necessarily the in the minority in another context.

A general see also reference was added to **Sexual minorities** to show the various types of headings that refer to gender and sexual minorities. It reads, “*see also* headings for individual sexual minorities, and headings containing a term for an individual sexual minority, e.g., **Asexual people; Bisexuals; Gays; Christian lesbians; Gender-nonconforming youth; Intersex athletes; Older transsexuals; Transgender librarians.**”

**Qualifiers in Subject Headings for Individual Kurd, Arab, and Berber Groups.** In LCSH, the general policy is to qualify headings for individual ethnic groups by the adjective for the continent, region, or country of the group, followed by the word people (e.g., **Aro (African people); Chrau (Vietnamese people)**). Practice for Kurds, Arabs, and Berbers has been mixed, however, with some being qualified by (**[Arab, Berber, Kurdish] tribe**) and others by (**[Arab, Berber, Kurdish] people**). Going forward, all new headings of this type will be qualified according to the latter formulation.

Catalogers making proposals to edit existing headings for another reason (e.g., to add another UF reference) should also propose that the heading be revised if necessary to follow the new guidance. PSD requests that catalogers *not* submit proposals *merely* to revise headings, and that they not purposely seek out headings to which other revisions can be proposed, solely to justify changing the heading. Instead, LC will undertake a project to systematically revise existing headings whose qualifiers include the word tribe.

**Statistics in Summaries of Decisions.** The monthly summaries of decisions explain why individual proposals for classification numbers, subject headings, genre/form terms, etc., that appeared on Tentative Lists were not accepted, and they also occasionally contain announcements related to subject cataloging and classification. Beginning in October 2018, summaries of decisions now include statistics on the approximate number of proposals that were approved on the list, as well as the number that were not approved, not necessary, or marked resubmit.

**Notable New Headings.** Several subject headings of note have been approved since the Annual Conference. They include **Cryptocurrencies; Emojis; Female-to-male transsexuals; Male-to-female transsexuals; Military parades; Mumbai Attacks, Mumbai, India, 2006; Smart cookers; Spanglish; Transgender men;** and **Transgender women.**

## **GENRE/Form TERMS**

**Filmed and Televised Works.** Terms in the form **Filmed [...]** and **Televised [...]**, along with some others that were constructed in a similar style (e.g., **Filmed plays; Televised operas; Radio debates**), were approved during the early stages of the development of LCGFT because the base term did not yet exist and the terms were needed to catalog audio-visual materials. Thirty-seven of those terms are no longer necessary because the base terms were added during the literature, music, and “general” genre/form projects. They were cancelled on the Tentative List for December 2018. Each of the cancelled terms has been retained as a “former heading” UF reference to its replacement term(s). For example, **Filmed interviews** has been cancelled and is now a reference to **Interviews** and **Nonfiction films**, which should be assigned instead.

Terms of this type that represent high-level collocation points (e.g., **Filmed performances**) remain valid, as do terms for which it is not possible to approve a base term, or a base term is not obvious. As an example of the latter, **Filmed baseball games** is still valid because a baseball game is neither a genre nor a form.

**Scope Notes for Broadest Terms.** Eleven “broadest terms” in LCGFT – the terms that represent the top level of a discipline, such as **Radio programs** and **Law materials** – were given scope notes indicating that they could be used only for collections “that are composed of multiple genres and/or forms to which more specific headings” cannot be applied. The scope notes essentially restated the principle of specificity, which is explained in instruction sheet J 110 of the draft manual for LCGFT. The notes were unnecessary and were removed on the Tentative List for December 2018.

A similar scope note on **Pictures** was retained because it contrasts the meaning of the terms **Pictures** and **Art** in addition to addressing the principle of specificity.

### **DEMOGRAPHIC GROUP TERMS**

**Moratorium on LCDGT Proposals.** *Library of Congress Demographic Group Terms* (LCDGT) is intended to describe the creators of, and contributors to, resources, and also the intended audience of resources. Terms may be assigned in bibliographic records and in authority records for works and expressions.

The moratorium on proposals for new and revised terms that was enacted in February 2018 is still in place while PSD thoroughly evaluates LCDGT’s structure and principles.