Center *for* Research Libraries
GLOBAL RESOURCES NETWORK

Improving Local Holdings Records
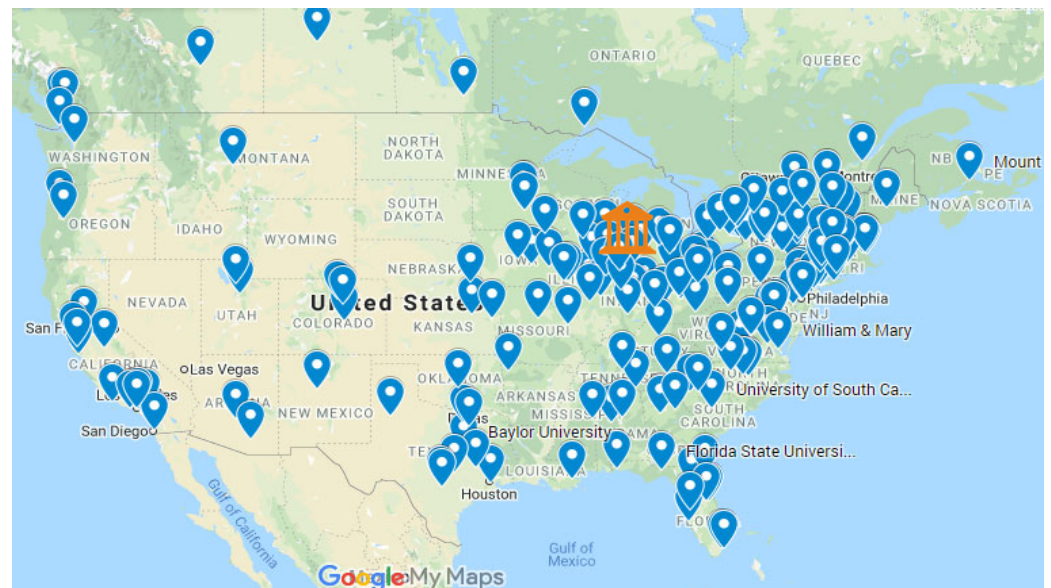
Amy Wood

Head of Technical Services

Center for Research Libraries
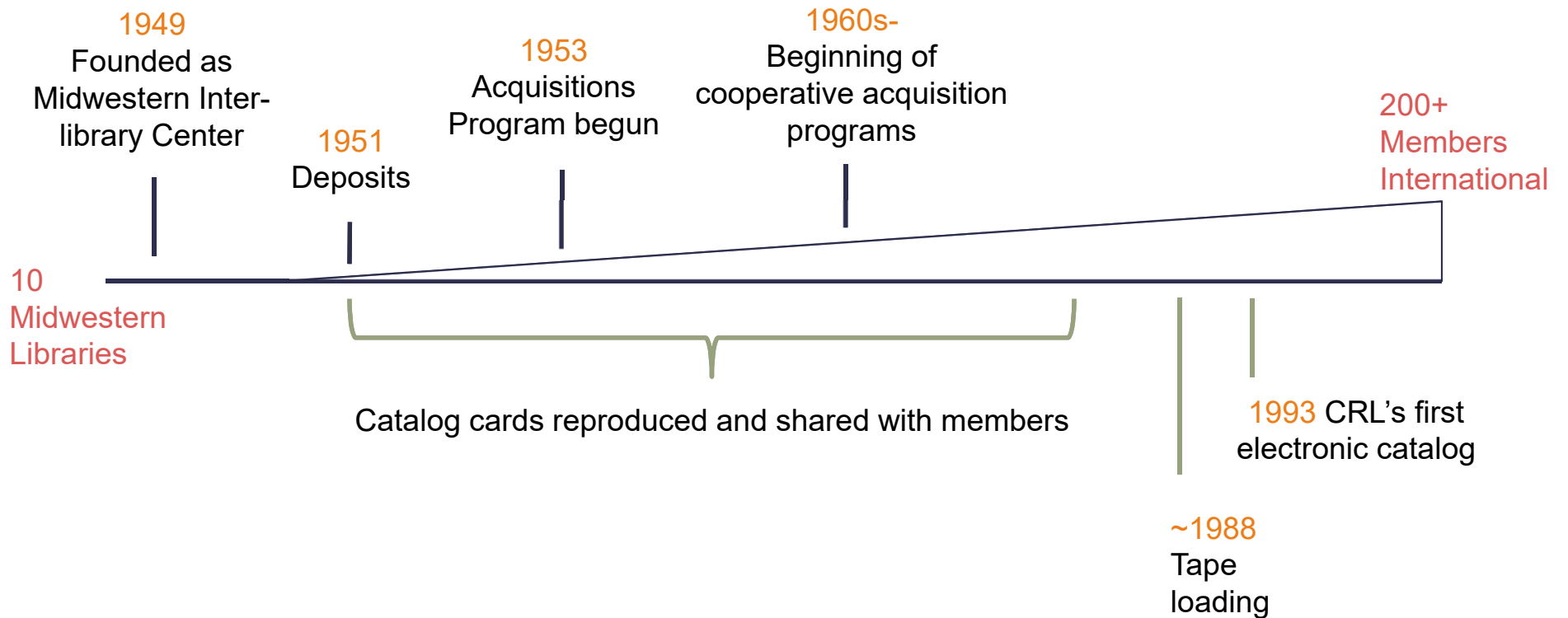
Center for Research Libraries (CRL)

- International consortium of over 200 university, college, and independent research libraries,
- Founded in 1949, CRL supports original research and inspired teaching in the humanities, sciences, and social sciences,
- Preserves and makes available to scholars a wealth of rare and uncommon primary source materials from all world regions.

- Based in Chicago, IL

- Governed by a Board of Directors from the library, research and higher education communities.

Growth of CRL, Serials Collection and Record Sharing

1949
Founded as Midwestern Inter-library Center

1951
Deposits

1953
Acquisitions Program begun

1960s-
Beginning of cooperative acquisition programs

200+
Members International

10
Midwestern Libraries

Catalog cards reproduced and shared with members

~1988
Tape loading

1993 CRL's first electronic catalog

CRL Holdings Statements & Records

CRL Local Catalog Holdings Statement

590 Center has:

590 E-3309 v.1-10 Sep 1944-1953

590 E-2949 v.11-13 1954-1956

590 E-1350 v.14-23, no.1 1957-Jan/Mar 1966; (Lacks: v.22, no.2; May/Aug 1965)

Corresponding WorldCat Local Holdings Record

=LDR 00345cy a22001453n 4500

=001 3202769

=003 OCoLC

=004 ocm01753147\

=007 zu

=008 8401275u\\\\8\\\0001uu\\\0840200

=852 \\$aCRL$bCRLS

=866 31$80$a1:1-22:1,22:3-23:1(1944-1966)

CRL's Local Holdings Improvement Project

Goal:

Create delimited, structured 863 fields for CRL serials records

Scope:

Print serial holdings records with 866 fields

Method:

- Compare WorldCat LHRs with local holdings statements.
- Update the WorldCat record with additional information
- Structure the holdings in 85X/86X combinations.

Staff:

- Cataloging
- Stacks

Timeframe:

- Begin March 2020
- Unknown end date

Plan Two

1. • Pull CRL WorldCat LHRs

2. • Backstage processes LHR file

3. • Review Backstage output

4. • Physical review of questionable holdings
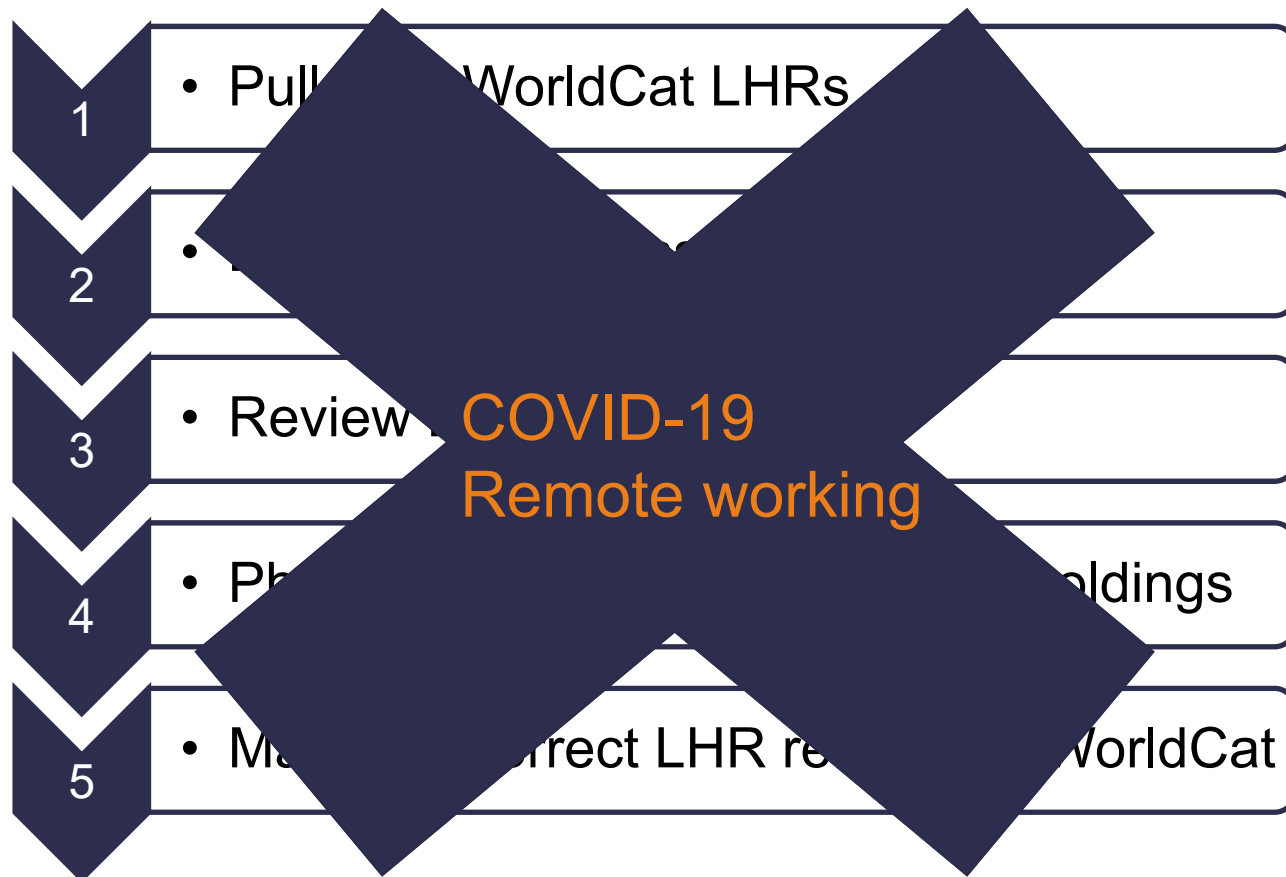
5. • Manually correct LHR records in WorldCat

Backstage Record Example

```
=LDR  00578ny  a22002173n 4500
=001  81976231
=003  OCoLC
=004  ocm01752855\
=007  tu
=008  1110205u\\\\8\\\0001aaeng0111020
=852  \\$aCRL$bCRLS
=853  33$81$av.$i(year)
=863  31$81.1$a7-19$i1969-TBD$wg
=863  31$81.2$a21$iTBD$wg
=863  31$81.3$a26$iTBD$wg
=863  31$81.4$a28$i$wg
=863  31$81.5$a30-31$iTBD-TBD$wg
=863  31$81.6$a35$iTBD$wg
=863  31$81.7$a37$iTBD$wg
=863  31$81.8$a42$iTBD-2005
=866  31$80$a7-19,21,26,28,30-31,35,37,42(1969-1982,1983-1984,1989,
        1991,1993-1994,1998,2000,2005)
```
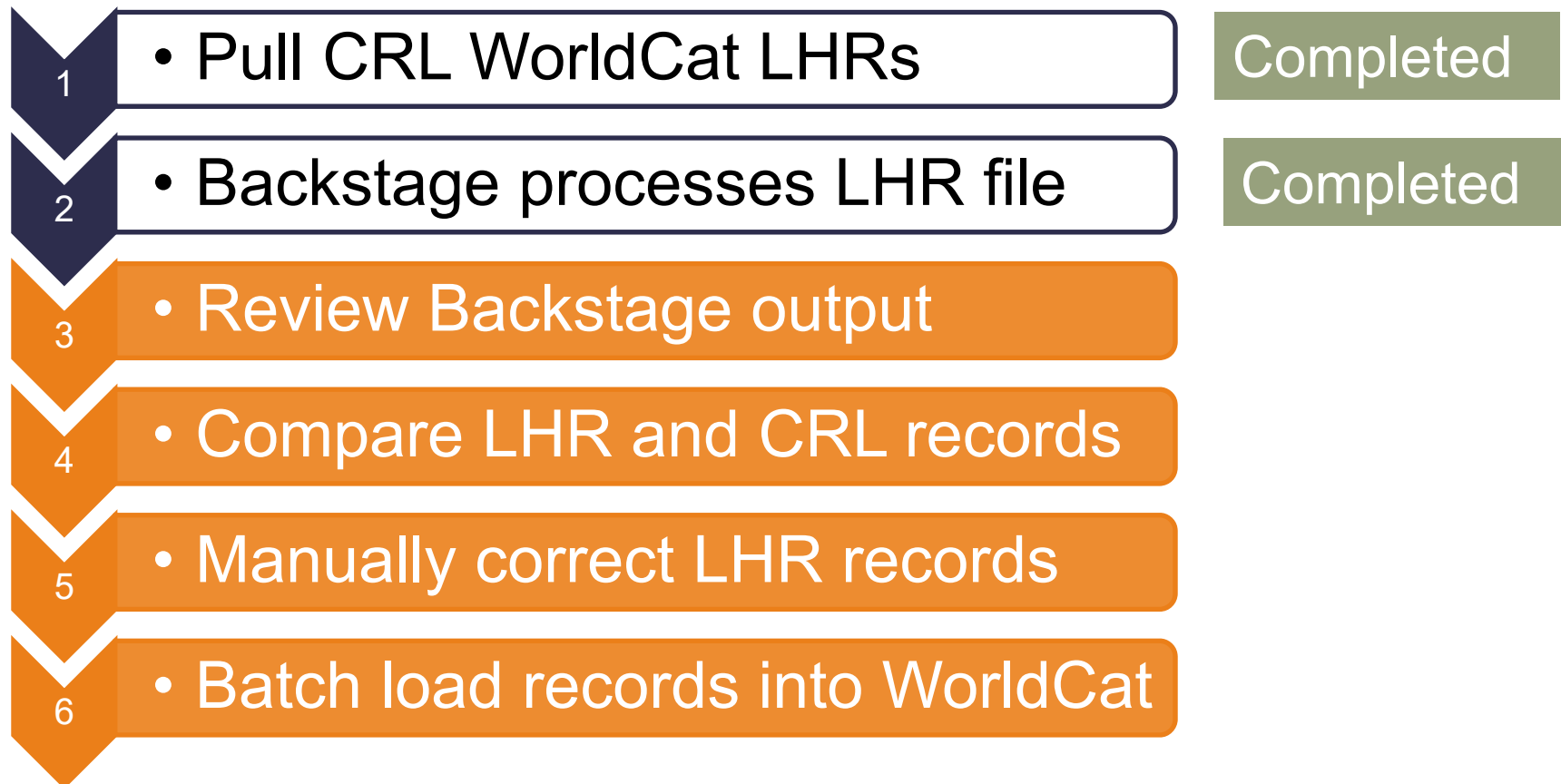
Plan Two

1 • Pull ... WorldCat LHRs

2 •

3 • Review ...

COVID-19
Remote working

4 • Ph... ...ldings

5 • Ma... ...rrect LHR re... ...WorldCat

Plan Three

1 • Pull CRL WorldCat LHRs	Completed
2 • Backstage processes LHR file	Completed
3 • Review Backstage output	
4 • Compare LHR and CRL records	
5 • Manually correct LHR records	
6 • Batch load records into WorldCat	

Roles

Project Coordinator
- Pulled WC LHRs
- Backstage contact
- Organized team

Stacks supervisor
- Ensure staff morale
- Help establish process
- Participated in LHR work

Expert cataloger
- Answer questions
- Provide training as needed
- Performed last check of records

Record control & quality assurance
- Ensure accurate flow of records
- Performed first check of records
- Provided feedback, referred problems

Tools & Resources

Communication & Project Management	• MS Teams • Posted messages, WIKI, stored files, links to documentation, held online meetings
Standards documentation	• LHR documentation, Harvard Univ. Library • LC's MARC 21 Holdings Data
Training	• Project introduction • Daily Q&A sessions • One on one communication via posts
Information Sources	• CRL web catalog, other library catalogs • HathiTrust, and e-journal providers • WorldCat via Connexion
Record retrieval	• OCLC's WorldShare Collection Manager • MARCedit

Workflow – Part 1

File from Backstage were broken into groups of 50 records

↓

Files were loaded to Teams folder called "orig LHRs"

↓

Team member claimed a file by adding initials to file name

↓

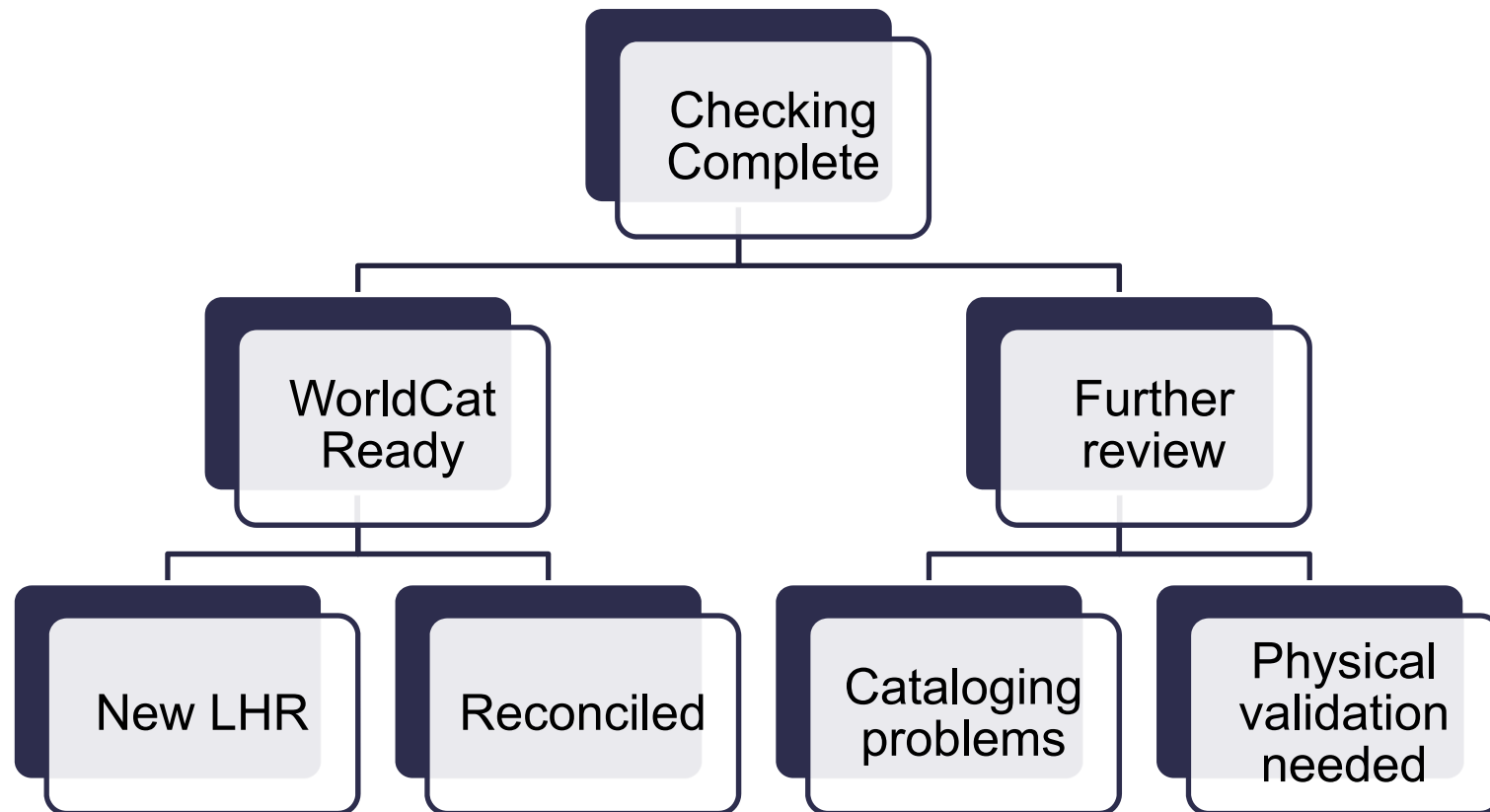Completed records in files of ten LHRswere saved to a "to be checked" folder

↓

Completed records were checked

↓

Approved records were saved to "checking complete" folder

Workflow Part 2: "Checking Complete" Folder

1 CRL WorldCat LHR

```
=LDR  00223ny  a22001093n 4500
=001  3063589
=003  OCoLC
=004  ocm01568278\
=007  zu
=008  8311185u\\\\8\\\0001uu\\\0831100
=852  \\$aCRL$bCRLS$hC-26486
=866  31$80$a42,44-56(1955-1956)
```

CRL catalog holdings statement

```
590    Center has:
590    C-26486   v.9-11, no.42-56 (Mar 1955-
May 1956); LACKS:  no.43
```

2 Backstage Processed LHR

```
=LDR  00327ny  a22001453n 4500
=001  3063589
=003  OCoLC
=004  ocm01568278\
=852  \\$aCRL$bCRLS$hC-26486
=853  33$81$av.$i(year)
=863  31$81.1$a42$i1955-TBD$wg
=863  31$81.2$a44-56$iTBD-1956
=866  31$80$a42,44-56(1955-1956)
```

3 Errors corrected

```
=LDR 00327ny a22001453n 4500
=001 3063589
=003 OCoLC
=004 ocm01568278\
=852 \\$aCRL$bCRLS$hC-26486
=853 33$81$av.$bno.$i(year)$j(month)$w3
=863 41$81.1$a9$b42$i1955$j03$wg
=863 40$81.2$a9-11$b44-56$i1955-
1956$j05-05
=866 31$80$a42,44-56(1955-1956)
```

Checklist to Reconcile/Verify/Correct Holdings

To review holdings, check following fields in CRL catalog record :
- 590 holdings fields
- Item records
- Publication dates
- Frequency fields
- Notes regarding publication patterns

If lacking essential information:
- Check other library holdings in OCLC WorldCat & local catalogs
- Check online sources

Ask for help:
- Teams Wiki entry
- Teams Post
- Daily Q&A session

Progress So Far

Approximately 2,500 records have been processed

About 1,200 have been fully checked

Roughly 25% of records checked need physical validation

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