CCDO Collection Report

Author: Kizer Walker (kw33@cornell.edu)

University/Institution: Cornell University Library

Title: Director of Collections

Date: June 30, 2023

Collections Report

Budget Update

The appropriated budget for the 2023-24 fiscal year is set at the same level as the 2022-23 budget; there will be an increase to endowment funding for materials.

Staffing and Organizational Changes/Update

Elaine L. Westbrooks was appointed Cornell's Carl A. Kroch University Librarian, effective July 1, 2022. Westbrooks was previously vice provost and university librarian at the University of North Carolina at Chapel Hill. See: https://news.cornell.edu/stories/2022/03/elaine-westbrooks-named-carl-kroch-university-librarian.

On August 31, 2023, **Elizabeth Haven Hawley** will join the Cornell community as Associate University Librarian for Special Collections. Hawley is currently Chair of the Special and Area Studies Collections at George A. Smathers Libraries and Affiliate Faculty in the Center for Gender, Sexualities, and Women's Studies Research at the University of Florida. At Cornell, her portfolio include the University Archives Program and the following three units:

- The Division of Rare and Manuscript Collections, Cornell's largest repository of rare books, manuscripts, archival materials, and the home of the University Archives.
- Digitization and Conservation Services, a library-wide service dedicated to preserving and disseminating information in support of the research lifecycle.
- Catherwood Library, a comprehensive resource on labor and employment in North America which includes the Kheel Center for Labor-Management Documentation and Archives.

Michelle Houle Hitz became Cornell University Library's new Director of Development in April 2023. She previously served as Director of Development in Cornell's College of Arts and Sciences and has held similar positions at several non-profits.

Collection Assessment Activities

Collection Data Analysis Team

CUL's Collection Data Analysis (CDA) group, formed in 2018, continues to develop new techniques and tools to support collection development decision-making. Data support from CDA is crucial for the work of Cornell's Collections Content Negotiation Team (see below). CDA also works with colleagues in

the Library's Assessment and Planning unit and elsewhere to develop reporting tools that provide improved access to expenditure and other data from our FOLIO system, including a set of selector dashboards using Tableau software.

Cornell Collection Development – Anti-Racism and Just & Equitable Futures

Following on last year's recommendations of Cornell's Collection Development Task Force on Anti-Racism and Just & Equitable Futures, we have amended the Library's collection development principles as follows: "Attentive to the multiple intersecting ways that the library collection reflects societal histories of bias, exclusion, and oppression, collection development at CUL seeks to redress omissions and to advance research and teaching that critically examines unjust structures, contributing to their dismantlement." Over the past year, we have made this principle a key factor in acquisitions decisions, particularly for major onetime purchases (see "General Collections" below).

Notable and Newsworthy Collection Development and Acquisition Models

Team-based collection development model

Over the past three years, the Library has undertaken a phased transition to a new collection development model in which most unrestricted collection funds are allocated to five broad-based Discipline Teams (Area Studies, Humanities, Sciences, Social Sciences, and Interdisciplinary) and managed collaboratively, rather than being allocated for specific subjects and managed by individual selectors. The larger funds have allowed a more holistic view of the collections budget and a more flexible approach to spending. In the next phase of the transition, Cornell's collection budget for Eastern European and Post-Soviet Studies Studies, as well as Latin American and Iberian Studies – the areas overseen by Columbia University-based selectors as part of Cornell and Columbia's ongoing "2CUL" partnership – will be integrated into the Area Studies Team budget with the start of the 2023-24 fiscal year. Collection development responsibilities for these areas are otherwise unchanged and remain with Columbia-based colleagues in our "shared selector" model.

Restricted endowment funds, which make up about 15% of Cornell's materials budget, continue to be managed by individual subject selectors.

Negotiation Team

Since 2019, the Library has a standing Collections Content Negotiation Team with the role of reviewing and negotiating the terms of major licenses and other agreements with content vendors to make the best possible use of the Library's financial resources. The Team is working to develop a systematic, data-informed approach to identifying, prioritizing, and planning for pending license negotiations. This Team also serves as a resource for other staff working with licenses and agreements.

This year, the Negotiation Team has worked on establishing standard communication channels with library liaisons and selectors and to develop workflow tools to help streamline their work. The team negotiated agreements to renew journal collections for 2023 and beyond with IEEE, ACS, RSC, Wiley, Sage, and part of our SpringerNature portfolio. In addition, Cornell Team members served on several NERL publisher negotiation teams.

Scholarly Communication Initiatives and Developments

Task Force on Investment in Sustainable Scholarship

Recent recommendations by a Cornell University Faculty Senate Ad Hoc Committee on the Future of Scholarly Communications call on the Library to:

- Invest in projects, initiatives, partnerships, and infrastructure that move scholarly communications in the direction of sustainability, equity, and openness; and
- Launch a campaign to build awareness across the Cornell community around the challenges of the current scholarly communications system and their impact on the Library's ability to make essential resources available for Cornell researchers.

To plan and launch specific actions and initiatives that build on the Faculty Senate recommendations, in the spring, the Library charged a new **Task Force on Investment in Sustainable Scholarship for Cornell**. The Task Force includes members from Cornell University Library (Ithaca and the Weill Medical Library in New York City) as well as Cornell University Press. The two-part charge includes wide-ranging strategy recommendations and broad campus outreach.

Project Euclid

Early this year, Cornell University Library signed a transfer agreement that brought to a close the Library's involvement with Project Euclid. Cornell's long-term Euclid collaborator, Duke University Press, will now be the sole owner and manager of this groundbreaking ejournal publishing platform that, for the last twenty-two years, has provided a sustainable and non-commercial publishing option for scholarly journals. Cornell University Library is immensely proud of our leadership and work that created Project Euclid and positively impacted scholarship and the sustainability of independent publishing. We are confident that our colleagues at Duke are supremely qualified to continue to make the Euclid mission a continued success. See: https://projecteuclid.org/about.

Cornell Open Access Publishing (COAP) fund

The Library continues to administer the Cornell Open Access Publishing (COAP) fund, which subvents reasonable article processing fees for open access journals when funds are not otherwise available. From the start of the program in 2010 through spring 2023, COAP awards totaling nearly \$395,000 have supported 274 OA articles and three OA books published by 210 unique Cornell authors. The articles appeared in 123 unique journals brought out by a total of 34 publishers. More: https://www.library.cornell.edu/research-teaching/scholarly-publishing/coap/.

TOME

Cornell is a participant in the <u>TOME initiative</u>, launched in 2017 by the Association of American Universities (AAU), the Association of Research Libraries (ARL), and the Association of University Presses (AUP) to support the publication of Open Access digital monographs. TOME is designed to advance the wide dissemination of long-form, peer-reviewed scholarship by humanities and humanistic social sciences faculty members. Cornell will provide limited funding to subvent book publication for eligible Cornell faculty authors via the TOME program. The funds are administered by Cornell University Press. TOME supported publication of three books by Cornell authors in 2022/2023: *Lahore Cinema: Between Realism and Fable* by Iftikhar Dadi (U of Washington Press, November 2022); *High Stakes, High Hopes: Urban Theorizing in Partnership* by Sophie Oldfield (U of Georgia Press,

September 2023); and *Futures After Progress: Hope and Doubt in Late Industrial Baltimore* by Chloe Ahmann (U of Chicago Press, forthcoming spring 2024).

Signale books

Signale: Modern German Letters, Cultures, and Thought (http://signale.cornell.edu/) is Cornell's book series for new English-language scholarship on the literature, culture, criticism, and intellectual history of the German-speaking world, as well as translations of important German-language works. Signale books are jointly published by Cornell University Library and Cornell University Press in electronic and print formats, in partnership with Cornell's College of Arts and Sciences. Signale employs new publishing processes and new divisions of labor among the Library, Press, and faculty toward an economically viable model for developing and distributing scholarship in a small, but dynamic humanistic field where monograph publishing faces sustainability challenges. Signale's open access program for older books in the series makes fully-functional, downloadable PDFs of most titles publicly accessible four years after initial publication. The 35th book in the series appeared in 2023.

Notable Acquisitions, Grants, Exhibits

Special Collections/Archives

Acquisitions, including donations, for Cornell's Rare and Manuscript Collections during fiscal year 2023 include the papers of Robert Mapplethorpe's biographer, Patricia Morrisroe; an archive of materials by and about Chinese diplomat and politician Hu Shih, concerning publication of his *China, Too, is Fighting to Defend a Way of Life*; photographs and flyers from the collection of one of Hip Hop's first DJs, Clive Campbell (DJ Kool Herc) reflecting his participation in Hip Hop culture from the mid-1970s to the mid-1980s; and the pocket diary and photograph of Union Civil War cook, William S. Tiffany. These are just a few of the additions that have arrived in the last twelve months.

Cornell Library opened the exhibition "Social Fabric: Land, Labor, and the World the Textile Industry Created." On view in the Hirshland Exhibition Gallery of Cornell's Division of Rare and Manuscript Collections, "Social Fabric" forms part of a year-long celebration of textiles across the campus with several associated displays and events in multiple Library units. The exhibition explores the textile industry from the 18th century onwards, including the people and places that made it, and remains on view until September 1, 2023. See: https://exhibits.library.cornell.edu/social-fabric-land-labor-and-the-world-the-textile-industry-created. Also on view in the Rare and Manuscript Division is an exhibition of antiquarian works and scholarly correspondence from our collections celebrating the 50th anniversary of Cornell's Jewish Studies Program. See: https://exhibits.library.cornell.edu/celebrating-50-years-of-jewish-studies-at-cornell-highlights-of-rmc-s-judaica-hebraica.

General Collections

Early in 2023, Cornell University Library allocated \$1 million in one-time funds to supplement the existing collections budget, with the goals of building on existing collection strengths, responding to urgent and longstanding research needs, and advancing the Library's antiracism goals across the collection. Acquisitions were proposed by the Collection Development Teams and prioritized by the Team Leads. The supplement allowed acquisition of a wide range of mostly digital resources, including STEM resources such as the Royal Society of Chemistry ebook collection and Wiley's Encyclopedia of Analytical Chemistry; digitized historical newspaper collections (ABC from Madrid, the Hindustan

Times, and the Montreal Gazette); and digitized primary source materials such as the Native American Tribal Histories from Readex and several collections related to the history of U.S. slavery and the slave trade, including a four-part set of Plantations Records offered by ProQuest. See: https://news.cornell.edu/stories/2023/04/new-library-acquisitions-advance-academic-distinction-diverse-scholarship

"Top of Mind" (Challenges, Issues, etc. to surface)

- Sustainable scholarship
- How to combat structural racism in and through the academic library collection
- Collections budget
- The unprocessed backlog